

RECEIVED #3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Akira KUBOTA et al.

Serial Number: 09/830,215

Filed: April 24, 2001

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SEP 18 2001

TC 1700

Group Art Unit: 1772

Examiner: Unknown

For: BIAXIALLY ORIENTED FILM AND MAGNETIC RECORDING MEDIUM

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner

for Patents

Washington, D.C. 20231

June 19, 2001

Attn: Office of Initial Patent Examination's
Customer Service Center

Sir:

A corrected filing receipt is respectfully requested for the above-identified application.

The filing receipt incorrectly identifies the filing date of the application as April 25, 2001. The filing date of the application should be --April 24, 2001--. Enclosed is a copy of a "Postcard" showing the United States Patent and Trademark Office receipt stamp dated April 24, 2001. Also enclosed is a copy of Notification of Acceptance of Application under 35 U.S.C. 371 and 37 C.F.R. 1.494 or 1.495, and identifying "April 24, 2001" as a date of receipt of all 35 U.S.C. 371 requirements.

Additionally we noted that the address of the second inventor

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U.S. PATENT APPLN. S.N. 09/830,215
REQUEST FOR CORRECTED FILING RECEIPT

(Shoji Nakajima) is not consistent with the addresses of the other three inventors. Both the city and prefecture are listed for the other three inventors but not for the second inventor. Please correctly identify the address of Shoji Nakajima as --Otsu-shi, Shiga--. A copy of the declaration is also enclosed.

A copy of the filing receipt with the error marked in red is also enclosed.

In the event any fees are required, please charge our Deposit Account No. 111833.

Respectfully submitted,

KUBOVCIK & KUBOVCIK

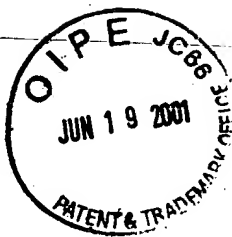


Keiko Tanaka Kubovcik
Reg. No. 40,428

Atty. Case No. TOR-022
The Farragut Building
Suite 710
900 17th Street, N.W.
Washington, D.C. 20006
Tel: (202) 887-9023
Fax: (202) 887-9093
KTK/emd/mlm

Enclosures: Postcard
Notification of Acceptance of Application
Declaration
Marked-up Filing Receipt

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U.S. NAT'L STAGE OF PCT/JP00/05692

BD/RB

4/25/01

Patent ☒

Atty. Docket No. TOR-022

Date: April 24, 2001

Title of Invention: BIAXIALLY ORIENTED FILM AND MAGNETIC RECORDING MEDIUM

Applicants: Akira KUBOTA, Shoji NAKAJIMA, Yukari NAKAMORI and Tetsuya

TSUNEKAWA

☒ Check: \$1116.00 (No. 3334)

☒ PCT Application Transmittal (35 U.S.C. 371)

☒ Assignment

☒ Fee Transmittal

☐ Small Entity Declaration

☒ Preliminary Amendment

☐ IDS: PTO-1449 & * doc(s)

☒ English Translation of Specification, Claims & Abstract (78 pages)

☐ Formal Drawings (* sheets, Figs. 1-)

☒ Other: PCT/RO/101 (with English

☒ Declaration & Power of Attorney

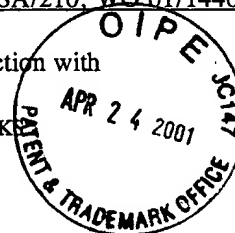
translation), PCT/IB/301, PCT/IB/304,

PCT/IB/308, PCT/ISA/210, WO 01/14462

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case.

COMMISSIONER OF PATENTS AND TRADEMARKS

(Due: April 25, 2001)





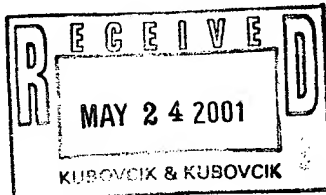
UNITED STATES PATENT AND TRADEMARK OFFICE



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Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/830215	KUBOTA	A TOR-022

KUBOVCIK & KUBOVCIK
THE FARRAGUT BUILDING
SUITE 710
900 17TH STREET N W
WASHINGTON, DC 20006

INTERNATIONAL APPLICATION NO.

PCT/JP00/05692

I.A. FILING DATE

PRIORITY DATE

24 AUG 00

25 AUG 99

DATE MAILED:

22 MAY 2001

**NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371
AND 37 CFR 1.494 OR 1.495**

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as ☒ a Designated Office (37 CFR 1.494), ☐ an Elected Office (37 CFR 1.495), has determined that the above-identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

24 APR 01	24 APR 01
DATE OF RECEIPT OF	DATE OF RECEIPT OF ALL
35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above-identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☒ A request for immediate examination under 35 U.S.C. 371(f) was received on 24 APR 01 and the application will be examined in turn.

4. The following items have been received:

- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application.
- ☒ Translation of the international application into English.
- ☒ Oath or Declaration of inventors(s).
- ☐ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English.
The Article 19 amendments ☐ have ☐ not been entered.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Copy of the Annexes to the International Preliminary Examination Report (IPER).
☐ Translation of Annexes to the IPER into English.
The Annexes ☐ have ☐ not been entered.
- ☒ Preliminary amendment(s) filed 24 APR 01 and _____.
- ☐ Information Disclosure Statement(s) filed _____ and _____.
- ☒ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed _____.
- ☐ Indication of Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

Vonda M. Wallace

Telephone: 703-305-3736

FORM PCT/DO/EO/903 (March 2001)

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PATENT-TRADEMARK OFFICE

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Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled BIAXIALLY ORIENTED FILM AND MAGNETIC RECORDING MEDIUM,
the specification of which

(Check one
of 1, 2, or 3.)

1. ☐ is attached hereto.
2. ☒ was filed on August 24, 2000 as
International PCT Application No. PCT/JP00/05692
and was amended on _____
(if applicable)
3. ☐ was filed on _____ as
U.S. Application Serial No. _____
and was amended on _____
(if applicable)

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I hereby state that I have reviewed and understand the content of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed:

(List prior foreign applications.)				Priority Claimed	
				X	No
	<u>237724/99</u>	<u>Japan</u>	<u>25 August 1999</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)		
	<u>350004/99</u>	<u>Japan</u>	<u>09 December 1999</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)		
	<u>58420/00</u>	<u>Japan</u>	<u>03 March 2000</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)		

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120, of any United States patent application listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (Filing Date) (Status)

And I hereby appoint as principal attorney and agent Ronald J. Kubovcik, Reg. No. 25,401; and Keiko T. Kubovcik, Reg. No. 40,428.

KUBOVCIK & KUBOVCIK

Docket No. _____

Please direct all communications to the following address:

Kubovcik & Kubovcik
The Farragut Building
Suite 710
900 17th Street, N.W.
Washington, D.C. 20006
Phone: (202) 887-9023
Fax: (202) 887-9093

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first or sole inventor: Akira KUBOTA

Inventor's Signature: Akira Kubota Date: Mar. 22, 2001

Residence: Nara-shi, Nara 631-0843 Japan

Citizenship: Japanese

Post Office Address: 234-6, Hikida-cho 4-chome, Nara-shi, Nara 631-0843
Japan

Full name of inventor: Shoji NAKAJIMA

Inventor's Signature: Shoji Nakajima Date: Mar. 22, 2001

Residence: Otsu-shi, Shiga 520-0863 Japan

Citizenship: Japanese

Post Office Address: 10-5, Sen-cho 2-chome, Otsu-shi, Shiga 520-0863
Japan

Full name of inventor: Yukari NAKAMORI

Inventor's Signature: Yukari Nakamori Date: Mar. 22, 2001

Residence: Koka-gun, Shiga 529-1851 Japan

Citizenship: Japanese

Post Office Address: 1497-14, Nagano, Shigaraki-cho, Koka-gun, Shiga
529-1851 Japan

KUBOVCIK & KUBOVCIK

Docket No. _____

Full name of inventor: Tetsuya TSUNEKAWA

Inventor's Signature: _____

Date: Mar. 22, 2001

Residence: Otsu-shi, Shiga 520-2134 Japan

Citizenship: Japanese

Post Office Address: 33-9, Seta 5-chome, Otsu-shi, Shiga 520-2134 Japan

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/830,215	04/25/2001 04/24/2001	1772	1076	TOR-022		29	2

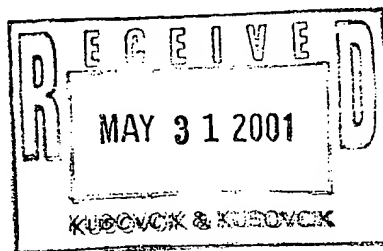
CONFIRMATION NO. 3140

FILING RECEIPT



OC000000006116615

20374
KUBOVCIK & KUBOVCIK
SUITE 710
900 17TH STREET NW
WASHINGTON, DC 20006



Date Mailed: 05/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Akira Kubota, Nara-shi Nara, JAPAN;
Shoji Nakajima, ~~Otsu-shi~~, JAPAN; *Otsu-shi, Shiga*
Yukari Nakamori, Koka-gun Shiga, JAPAN;
Tetsuya Tsunekawa, Otsu-shi Shiga, JAPAN;

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Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/05692 08/24/2000

Foreign Applications

JAPAN 237724/99 08/25/1999
JAPAN 350004/99 12/09/1999
JAPAN 58420/00 03/03/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Biaxially oriented film and magnetic recording medium